



Guidance Note: Second Underfunded Round

Planning Figures:

- Following the CERF High Level Conference on 4 December 2008, donors committed US\$ 380 million to the CERF; the annual target of US\$ 450 million for 2009 remains.
- As per the Secretary General Bulletin (SGB) of 2006 “Establishment and operation of the CERF”, the underfunded window should represent up to one third of the Fund.
- Taking into account disbursements under the rapid response window since the start of the year and the foreseen amount of funds available for 2009, the projected size of the second underfunded window for 2009 is **US\$ 55 million**.
- The total amount allocated from the underfunded window in 2009 will be US\$ 130 million.

Country Selection:

- As stipulated in sections 4.3 of the SGB, funding to countries selected for underfunded grants should address core emergency humanitarian needs in chronically underfunded emergencies.
- When analyzing and recommending both CAP and non-CAP countries, the amount of funding provided from the rapid response window in late 2008 and early 2009 will be taken into consideration. Also, due attention will be given to countries not included in the first underfunded round of 2009.
- It is currently envisaged that **8 to 10 countries** (CAP and non-CAP) will be selected for this next round.
- Funding information on humanitarian requirements and gaps for both CAP and non-CAP countries are crucial when selecting countries and apportioning funds. Therefore, it is essential that agencies update/report funding information to the Financial Tracking Service (FTS), and submit all funding information on non-CAP countries, as requested in the funding overview template which the CERF Secretariat will provide.*

For non-CAP countries:

- A single shortlist developed by all agencies should be submitted for consideration to the CERF Secretariat.
- Recommendations should follow the *Non-CAP Country Recommendation Form*.
- In addition, each agency is requested to indicate which **criteria** were used as the basis for the recommendation of a particular set of countries.*

Grant Request Approval Schedule:

- 26 August – 7 October 2009

Proposed Timeline:

19 May	Interagency (IA) meeting: review of the Guidance Note and identification of the agency leading for the consolidation process for non-CAP countries
28 May	Teleconference on non-CAP country recommendations
By 10 June	Lead agency for non-CAP countries: following consultations with other agencies, recommended country list to be provided to the CERF Secretariat, along with necessary background information
By 29 June	<u>CERF to send to the IA:</u> list of recommended CAP and non-CAP countries as well as funding analysis for these countries
30 June	IA meeting: CERF to present consolidated list of recommended countries (CAP and non-CAP)
13 July	<u>CERF to present to the IA:</u> final list of selected countries
15 July	<u>CERF to send to the IA:</u> proposed apportionment to selected countries; surge to be discussed with agencies*

* This additional information and/or procedural step is requested/undertaken as part of the current review of the CERF Underfunded Window.



16 July	IA meeting: CERF to present recommended apportionment and surge missions
21 July	ERC to announce allocations
Early August	Surge missions deployed (as necessary)
26 August	Deadline for submission of CAP country application packages by RC/HCs**
2 September	Deadline for submission of Non-CAP country application packages by RC/HCs**
7 October	Closing of the 2 nd UFE round in 2009*

Key documents available:

- *CERF Procedures for Grant Allocations to Under-Funded Emergencies*: constitutes the policy basis for appropriate and timely allocations of funding to under-funded crises.
- *CERF Lifesaving Criteria*

Key templates:

- *Consolidated Funding Overview for CAP and non-CAP countries*
- *Non-CAP Country Recommendation Form*

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